

Exploring Temporal Memory of LSTM and Spiking Circuits

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Abstract. We have investigated two specific network types in the class of dynamic neural networks: LSTM and spiking neural networks. Dynamic neural networks in general are computationally powerful and very promising for tasks in which temporal information has to be processed. We'd like to remark that this is the case for virtually any task or application interacting with the real world. We have tested the networks on a broad set of dynamic tasks and most problems were solved by both; there are some fields though where either LSTM or the spiking neural networks performed better. These differences can be largely brought back to the differences between second and third generation networks.

1 Introduction

We have investigated classes of neural networks that are capable of having an internal memory state, i.e. the network just receives input from 'now' and has to store that somehow in order to influence later outputs. This is a feature required to solve dynamic tasks, tasks in which there is an input-flow that has to be processed without having the help of receiving older inputs again from the outside. Nearly any task in the real world requires such a mechanism, as inputs are typically only received just once.

The neural structure known as once brain will have to temporarily store information in order for you to have a short term memory: a form of memory for which connections between neurons don't need to be physically altered, information is retained by recurrent activity between neurons. We call these dynamic neural networks, of which we'll discuss two quite different types in particular: long-short term memory and spiking neural networks.

Artificial neural networks have become a standard tool within computer science; the first ideas and models are over fifty years old. The first generation of artificial neural networks consisted of McCulloch-Pitts threshold neurons [3], a conceptually very simple model: a neuron sends a binary 'high' signal if the sum of its weighted incoming signals rises above a threshold value. Second generation neurons do not use such a threshold but a continuous activation function to compute their output signals, making them suitable for analogue in- and output. Examples of commonly used

activation functions are the sigmoid and hyperbolic tangent. Typical examples of neural networks consisting of neurons of these types are feed-forward and recurrent neural networks.

Real neurons have a base firing-rate (an intermediate frequency of pulsing) and continuous activation functions can model these intermediate output frequencies. Hence, neurons of the second generation are more biologically realistic and powerful than neurons of the first generation [15]. Also, real neurons use individual pulses as signals, short voltage spikes that excite connected neurons. Neuron models of the first two generations do not employ these; for sake of simplicity their output signals are typically single analogue values between 0 and 1. These signals can be seen as normalised firing rates (frequencies) of the neuron. This is a so-called rate coding, where a higher average rate of firing correlates with a higher output signal. Due to such an averaging window mechanism the output value of a neuron can be calculated in iteration. After doing such a cycle for each neuron the response of the network to the input values is known.

In nearly all real-world-related tasks you need to take previously experienced inputs into account in order to determine the appropriate action or conclusion. In other words: the network needs to have some form of memory. Standard feed-forward networks do not have this capability, and without tricks they cannot be used to infer temporal relations. A widely used trick is to present the network not only the current input, but also a window of previous inputs [6,7]. This solution is clearly not biologically plausible and has some major disadvantages: only temporal relations within the input-window can be detected and huge input windows are required for long term influences, overtaxing both the system and learning capabilities [10].

2 Recurrent Sigmoid Neural Networks

Second generation neurons are computationally less complex than their biologically more plausible spiking counterparts and were therefore more appealing in early research, where they were used in various recurrent network topologies [5,11].

Popular training algorithms for recurrent neural networks include Back-Propagation Through Time (BPTT) and Real-Time Recurrent Learning (RTRL) [7,8,9]. A major drawback of BPTT is its need to record the whole network state, inputs, target vectors and weights during the training phase, as weight adjustment is done only after the epoch has ended. In contrast, RTRL allows for real-time weight adjustments, at the cost of losing the ability to follow the true gradient, which gives no practical limitations though [7].

To operate correctly with sigmoid networks, these algorithms require that time lags between inputs and target outputs are kept small; training becomes impossible otherwise. In second generation networks, large time lags tend to either blow the error flow up or let it vanish to zero; leading, respectively, to oscillating weights or a situation where learning does not take place at all. Several solutions to this problem of decaying error flow have been proposed [11,12], from which we have selected Long Short-Term Memory as the second generation alternative for our experiments.

2.1 LSTM

An efficient method of dealing with decaying error flow is Hochreiter's Long Short Term Memory (LSTM), of which Constant Error Carousels (CECs) are an essential element. Their basic function is to ensure a constant error flow by producing the sum of its previous and current inputs (see fig. 1). The model is explained in more detail in Hochreiter's work [12].

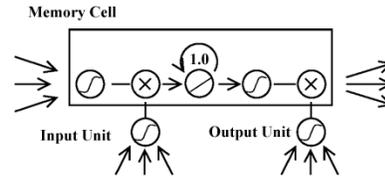


Fig. 1. The core of the LSTM network: the Memory Cell with in its centre the *Constant Error Carousel*, which ensures the constant error flow needed for learning long time dependencies [12]

Because the error flow does not suffer from decay, interactions with the outside world have to be selected with care: useful error signals have to sustain in the network and irrelevant memory content may not disrupt the current output. Especially with long time lags, time sequences potentially contain a lot of junk input, which harness the useful memory content and therefore does not benefit the learning process.

Restraining this unwanted flow is done by additional regulating gate units that scale the flow from and to the CEC. Gate units receive their input from the input, output and current network state and are trained like normal sigmoid cells to produce the scale factor. In this fashion, gate units can be trained to be selective for certain temporal events and allow the CEC to accumulate the flow of different events. The combination of input gate unit, CEC and output gate unit forms a memory (see fig. 1) cell and is able to satisfy above needs.

When the temporal sequences contain more complex spatial relations at certain time steps, it can be convenient to combine several memory cells together and give them the same temporal selectivity, which makes them focus at the same moment. This is done by grouping several memory cells together that share input and output units to form a memory block.

These memory blocks are integrated into a standard LSTM network topology, in which the input and output layer consists of sigmoid units. The memory blocks reside in the fully connected hidden layer and are optionally aided by sigmoid hidden units.

For the complete algorithm, we refer to the work of Williams et al. [7,8] for details. The LSTM networks in our experiments are trained by a truncated variant of RTRL, which compensates for the multiplicative dynamics caused by the input and output gates. Upon entering the memory cell, the error signal is scaled by the output unit and can flow through the CEC indefinitely. When it leaves the CEC, it is first scaled by the input unit, used to adjust the incoming weights and is finally truncated. In short, error signals which arrive at a memory cell do not get propagated back further in time.

3 Spiking Neural Networks

In the third generation of neural networks, the level of biological realism and computational power is raised by using individual spikes. Spiking neurons are inherently dynamic as they have an ever-changing internal state: their membrane voltage. This provides the network with an internally continuous memory, allowing it to incorporate spatial-temporal information in communication and computation, like real neurons do [4,14]. So instead of using rate coding, these neurons use pulse coding: mechanisms where neurons receive and transmit individual pulses, allowing multiplexing of information as frequency and amplitude of sound [1].

There are many different schemes for the use of spike timing information in neural computation. We've chosen to use the spike response model, a model in the threshold-fire class of spiking neuron. It's a conceptually simple, easy to implement model that captures key elements of the biologically very realistic Hodgkin-Huxley model [1,2]. We'll cover the details of this model here, further on in this paper we will describe the adaptations we've made in our implementation.

All action potentials are look-alikes. We can therefore forget about their form and characterise them by their firing-times $t_i^{(f)}$. The lower index i indicates the neuron, the upper index f the number of the spike. We can then describe the spike-train of a neuron as

$$F_i = \{t^{(1)}, \dots, t^{(n)}\} \quad (1)$$

The variable u_i is commonly used to refer to the internal state, or membrane potential, of a neuron i . If a neuron's membrane potential crosses threshold value ϑ from below, it generates a spike. We add the time of this event to F_i , defining this set as

$$F_i = \{t \mid u_i(t) = \vartheta \wedge u_i'(t) > 0\} \quad (2)$$

When a neuron generates an action potential, the membrane potential suddenly increases, soon followed by a long lasting negative after-potential (see fig. 2b). This sharp rise above the threshold value makes it is absolutely impossible for the neuron to generate another spike and is named absolute refractoriness. In the period of relative refractoriness, which we call the negative spike after-potential (SAP), it is less likely that the neuron fires again. We can model this absolute and negative refractoriness with kernel η :

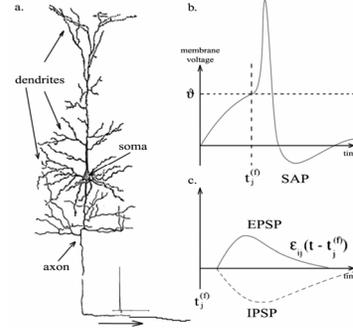


Fig. 2. (a) Schematic drawing of a neuron. (b) Incoming post-synaptic potentials alter the membrane voltage so that it crosses threshold value ϑ ; the neuron spikes and goes into a refractory state. (c) Typical forms of excitatory and inhibitory postsynaptic potentials over time [1]

$$\eta(s) = -n_0 \exp\left(-\frac{s - \delta^{abs}}{t}\right) H(s - \delta^{abs}) - KH(s)H(\delta^{abs} - s) \quad (3)$$

$$H(s) = \begin{cases} 1 & \text{if } s > 0 \\ 0 & \text{if } s \leq 0 \end{cases} \quad (4)$$

The duration of the absolute refractoriness is set by δ^{abs} , during which large constant K ensures that the membrane potential is vastly above the threshold value. Constant n_0 scales the duration of the negative after-potential. Having a description of what happens to a neuron when it fires, we need one for the effect of incoming postsynaptic potentials.

$$\varepsilon_{ij}(s) = \left[\exp\left(-\frac{s - \Delta^{ij}}{\tau_m}\right) - \exp\left(-\frac{s - \Delta^{ij}}{\tau_s}\right) \right] H(s - \Delta^{ij}) \quad (5)$$

In equation 5, Δ^{ij} defines the transmission delay (axons and dendrites are fast, synapses relatively slow) and $0 < \tau_s < \tau_m$ are time constants defining the duration of the effect of the postsynaptic potential. We use variable w_{ij} to model the synaptic efficacy or weight; with which we also can model inhibitory connections by using values lower than zero.

Neurons of the second generation work in the iterative, clock-based manner of digital computers, but can deal with analogue input values; we can quite easily feed input neurons with digitised values from a dataset or a robot-sensor. We cannot just insert such values into a spiking neuron and we will have to affect the membrane-voltage directly according to these values. This is done by $h^{ext}(t)$ that describes all external influences to the neuron's membrane potential.

$$h(t) = h^{ext}(t) + \sum_{j \in I_i} \sum_{t_j^{(j)} \in E_j} w_{ij} \varepsilon_{ij}(t - t_j^{(j)}) \quad (6)$$

The neuron might get excited due to outside influences and fire, effectively transforming an analogue input value into the signal the network can process: a spike. The current excitation of a neuron is described by

$$u_i(t) = \sum_{t_i^{(i)} \in E_i} \eta_i(t - t_i^{(i)}) + h(t) \quad (7)$$

where the refractory state, effects of incoming postsynaptic potentials and external events are combined. Together with equation 3 this forms the spike-response model, a powerful though easy to implement model for working with spiking neural networks.

4 Tasks

Our goal was to compare a second generation with a third generation neural network type on dynamic tasks. We therefore composed tasks that require the networks to do more than statically map single input values to single output values. In other words, an internal state or history of previous inputs is required to be able to produce the correct output.

To the best of our knowledge, evolving spiking neural networks for such time series tasks has hardly been done before. Because of this we weren't sure what performance to expect and thus started with a few simple tasks. We will now describe all tasks that we created data sets for, which we used both to evolve spiking neural networks and to train the LSTM networks with.

Frequency detection. The goal is to classify four different 'frequencies' (fire rates) that are fed into the network. There is one output per frequency to classify and this should be 1 when the corresponding frequency is detected, 0 otherwise. To make sure the detection isn't based on integration, the integrals of the different frequency parts are equal. An extra input is provided to indicate the start of a new frequency block and request output of the previous block (output at other moments doesn't influence fitness).

Gradient. In this task the network was asked to classify the direction of a gradient: the network had to determine whether the gradient was positive (increasing input values) or negative (decreasing input values). In other words, the network had to detect the sign of the first derivative.

Inverse binary. A rather simple task: series of 0's and 1's are given as input, the network should output the opposite. Thus, 0 gives 1 and 1 gives 0.

Inverse continuous. A more advanced version of the previous task, this continuous inverse also requires the networks to output the inverse of the input, but the input is now a continuous value between 0 and 1. A simple formula that describes this behaviour is $out(t) = 1 - in(t)$ (where t is the current time step).

Memory. In this very difficult task, the network has to repeat a previously seen input on command. First, either 0 or 1 is given as input for some time, after which a period of no input follows. Once the second input line signals by switching to 1, the originally seen input should be given as output. Before this, the output is unimportant and doesn't influence the fitness value.

Sines. A difficult classification task. Networks are asked to classify two types of sines, where the frequency is equal, but the amplitude is scaled with either 0.5 or 1.0.

Switch. A task where an internal state is an absolute necessity. We tried two versions, in which the input-line has a base value of 0 or 1. The initial desired output value is always 0, and has to be kept so until the input line indicates a switch. This is done by a short (1 time step) peak (i.e. from 0 to 1, or vice-versa). The output has to be kept at 1 until the next switch signal, etcetera. Summarising, each cycle the input is the inverse of the base value; the output value should be inverted (switched).

Temporal XOR. One single input value is randomly chosen every time step and is either 0 or 1. The corresponding output should be equal to $in(t) \text{ xor } in(t-1)$. In other words, the XOR of the last two inputs should be given as output.

We will give more details on the specific data sets we used in our experiments in the section on the results.

4.1 Evaluating Long Short-Term Memory

Evaluating the LSTM network is done by applying an input from the test set and measuring the error between output and target, this resulting total error is the summed squared error of all output cells for the duration of all sequences.

$$\text{sequence error} = \sum_t \sum_{k \in \text{output}} (d_k(t) - y_k(t))^2 \quad (8)$$

Training is finished when either the maximum amount of training period is reached or the error has reached the minimum specified value. After training the network is tested on the test dataset and its output is dumped to a file. This procedure is repeated for a given number of trials.

4.2 Evolving Spiking Circuits

In order to use the spike response model for artificial evolution, we applied some simplifications to this standard model in order to avoid overly large genomes and limiting the amount of computation needed. Our derivation of the model is based mainly on the model as described by Floreano and Mattiussi [13], and full details of the model used here are omitted due to space reasons, details are listed in our preceding technical report [19]. The software we used is based on *i* [17], an application written for evolutionary robotics with spiking circuits. We started with this application and developed it further to suit our needs.

The number of cycles that each network was tested for a task depended on the task, but the fitness function was always the same, based on the difference between actual and target outputs,

$$\text{fitness}(t) = 1 - \sum_x \sqrt{|out_x(t) - tar_x(t)|} \quad (9)$$

where $\text{fitness}(t)$ is the fitness value at cycle t , $out_x(t)$ and $tar_x(t)$ are the actual and target output values, respectively, of neuron x at cycle t . The fitness values of all cycles are summed and divided by the total number of cycles to normalize between 0 and 1. An output value was not required for every input; for a few tasks, target output was only given for some pre-defined intervals. Output values outside these intervals didn't influence the fitness.

After determining fitness values for all individuals in a population, reproduction can be done. We've used truncation selection: keep only the best individuals for reproduction, dispose of the rest. For improved evolutionary stability, elitism was used in reproduction: by always retaining the best individual (without modifying the genome) we ensured that our search wouldn't lose the current best solution.

The evolution parameters used for the experiments varied: for particular tasks the population size was changed between 60 and 500, while the default size was 120. For truncation selection, the best 25% of the population was always selected for reproduction. The crossover and mutations rates were 0.1 and 0.05, respectively. The maximum number of generations was 300, but fewer generations were enough in most cases.

5 Results

We have tested both types of networks, using the techniques described above, on the temporal tasks that were explained earlier. Thus, we trained LSTM networks for these tasks and evolved spiking circuits for exactly the same tasks, to enable us to make a comparison.

Our LSTM network topology consists of one input unit, one output unit and no additional conventional hidden units. The hidden layer consisted of two memory blocks, each with 2 memory cells, which was enough for most of the experiments we conducted, and the learning rate was set to 0.1 by default.

Most of the parameters for artificial evolution and spiking circuits have already been described, but there are a few parameters that haven't been settled yet. Almost all used data sets consist of 200 cycles, making evolution quite fast: each individual only needs to be tested for 200 cycles (1 epoch), as results turned out to be constant when more epochs were used. Only the frequency detection data set was significantly larger: 800 cycles.

All tasks were evolved with 5 interneurons in total, we experimented with both more and less interneurons, but more didn't give much better results and less wasn't always enough. For other (task-dependent) settings, we refer to Table 1. We first tried all tasks with the default settings (40 neuron updates per cycle and 20 neuron updates to determine output values), but had to change this in a few cases (essentially to increase resolution). We will now describe the results we obtained for each task separately.

Frequency detection. Evolution is very good at finding simple strategies that get a relatively high fitness, but these strategies are not always in accordance with the objective. This is also the case for the frequency detection task: two frequencies seem to be recognised and that gives already fairly good fitness, but the other frequencies are ignored and that wasn't the purpose of the task. LSTM also seems to have

Table 1. Overview of all results. Properties of each task are given, also some spiking circuit settings and the sum squared error of both types of network. Task properties: #i = number of input values, #out = number of output values, Target? = target output defined for?, State? = internal state required to accomplish task. Spiking circuit settings: Bias? = bias receptor added?, #updates = number of neuron updates per cycle, #updOut = number of updates used to determine output value

Task					Spiking circuits				LSTM
Name	#in	#out	Target?	State?	Bias?	#updates	#updOut	SSE	SSE
<i>Frequency detection</i>	2	2	Some	Yes	No	40	20	0.250	0.2684
<i>Gradient</i>	1	1	All	Yes	Yes	40	20	0.182	0.0262
<i>Inverse binary</i>	1	1	All	No	No	40	20	0.000	0.0742
<i>Inverse continuous</i>	1	1	All	No	No	100	80	0.003	0.0101
<i>Memory</i>	2	1	Some	Yes	Yes	40	20	0.500	0.1111
<i>Sines</i>	2	1	Some	Yes	Yes	80	20	0.455	0.2954
<i>Switch</i>	1	1	All	Yes	Yes	40	20	0.118	0.8914
<i>Temporal XOR</i>	1	1	All	Yes	Yes	40	20	0.250	0.4989

problems with this task; it only discriminates between the signals containing pulses and the low threshold input, surely not the preferred behaviour.

Gradient. We had to use two different data sets for LSTM: in the original data set, the slopes ended at different values (i.e. no simple ascending from 0 to 1) and this produced unpredictable results. Instead of providing a classification, the output was the inverse of the input (a very surprising result). In the second dataset, all sequences end at the same value (i.e. ascending or descending to 0.5) and LSTM networks are able to classify correctly. We had no such oddities with evolution of spiking networks, but no good individuals were evolved whatsoever. A commonly found strategy was to give high output when the input is high and keep it that way for some time, this turned out to work well. (Similar strategies were found when we tried other data sets.)

Inverse binary. LSTM could only produce viable results when the duty cycle was raised to 0.5. In that case, the trained network was on par with our evolved circuits that were perfect solutions. The LSTM networks were just slightly less perfect, as the network always needed a cycle to adjust its output to the changing input. Spiking circuits didn't need this, they gave the correct inverse even when the input was randomly chosen between 0 and 1 each cycle.

Inverse continuous. Performance of the LSTM network was equal to that of the previous task: again the duty cycle had to be raised. The evolved spiking circuits did fairly well again also, but the resolution of input and output is a bottleneck here. As we are working with a (discrete) number of spikes each cycle and not with continuous numbers (as LSTM), it is very important that input and output resolution are in accordance with the number of neuron updates each cycle.

Memory. This task shows us the profound advantages of learning over evolutionary search: LSTM can learn this task without too much effort, while our evolutionary approach with spiking networks is unable to reproduce the previously seen input when requested.

Sines. This task proved to be too difficult to be solved by evolution as we used it. Even though fitness reached 0.75 at various attempts, the behaviour of the network is far from right and it cannot classify the input sine waves. As for LSTM, classifying the sines fails completely.

Switch. The results that we obtained with this task gave us an interesting difference between the two network types. The spiking neural networks found by evolution shows nearly perfect behaviour, only suffering from the fact that it cannot switch its state immediately: it needs two cycles to complete its output change. The same lagging behaviour was seen in the LSTM network, but certainly not with the proposed data set: a 1-cycle input signal was insufficient to switch the output for all topologies tested, the networks simply kept their output at 0. It was not until we lengthened this signal to half (!) of the sequence's length, that the network showed behaviour more like that of the evolved spiking circuit.

Temporal XOR. This (unavoidable) XOR-task posed serious problems for both of our approaches. We did not succeed in successfully evolving a spiking neural network capable of solving the described task. All our evolutionary runs (partially with different spiking circuit parameters) came up with an efficient solution of fitness 0.75: the output is always high, except after two subsequent zeroes. None of the many tested LSTM topologies could find a solution for the temporal XOR task as

described. Output and error remained around the 0.5 during runs after learning. An efficient solution, but not quite what we were after, was found by imposing a delay (only giving 0 as input) after each offered input pair to be XORed.

6 Comparison

The different tasks give widely varying results for the types of networks experimented with: some tasks can be solved by both without too much effort, but this isn't the case for all tasks and some turned out to be infeasible with the parameters and techniques we used.

No serious problems were encountered with the two inverse problems, for which no internal state was required and feed-forward (non-recurrent) neural networks could also be used. As already mentioned, the resolution of input and output is an important issue here and that's something that counts for many real tasks: using rate coding in spiking circuits make that only a certain amount of detail can be dealt with, LSTM doesn't have this problem because it deals with analogue values internally. If very little differences in input (or output) make large differences in a task, it may be more straightforward to use a second-generation network like LSTM. Another possibility is to try pulse-coding schemes with the spiking circuits (e.g. spike time coding) to make encoding input and output values more precisely.

Too difficult for both network types were the sine classification, temporal XOR and frequency detection tasks, but these should be investigated further: we think that especially spiking circuits could perform better if we improved them by adding synaptic plasticity. Evolution is good at finding simple strategies to increase fitness, but these tasks were too difficult to evolve. Individuals that obtained a higher fitness were just lucky, not better at the task at hand. Evolvable tasks show an increasing maximum fitness during an evolutionary run, runs with too difficult tasks show a more or less constant fitness (see fig. 3).

LSTM performed better than spiking networks at two tasks: the gradient sign detection and memory tasks. Evolution was unable to find suitable spiking networks for these, which is not surprising for the memory task: a long time relation between input and output

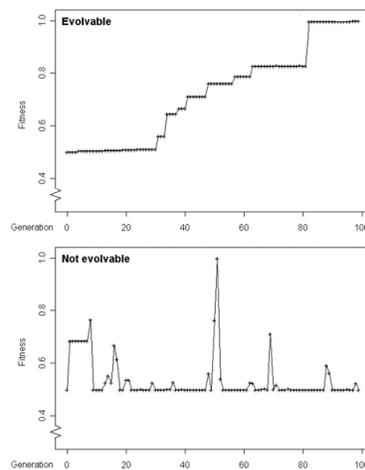


Fig. 3. Evolvability, fitness of best individuals over 100 generations. Typical increasing fitness value, the task is evolvable (*Top*). The maximum stays at the same low value and higher values are just lucky individuals, as the fitness drops back again: not evolvable (*Bottom*)

has to be found, basically by coincidence. That the gradient sign detection also gave problems may possibly be attributed to the stochastic rate coding: it may be difficult to accomplish this when the gradient is low and the stochastic receptors inflict even more noise in the spike trains.

The one task that spiking circuits were better at than LSTM was the switching task. LSTM networks are unable to completely revise their internal state based on one single input, whereas this is no problem for spiking networks: the neurons are updated 40 times each cycle and a one-cycle change of the input can have a large impact on the internal state of the whole network. This change wasn't always finished within one cycle, but the best networks completed the switch even after the input was back to normal in the next cycle.

7 Discussion

Neural structures as found in nature are very well suited for the processing of temporal information: these networks have an internal dynamic memory state that may be influenced for a shorter or longer time by its inputs – long and short term memory.

We covered some basics of sigmoidal recurrent networks and mentioned some learning algorithms, BPTT and RTRL that can be used to learn temporal correlations. Furthermore, we explained Long Short-Term Memory, a particular strong type of recurrent neural network, as it doesn't suffer from error flow problems as most others.

Spiking neural networks, incorporating third generation neurons, use the element of time in communicating by sending out individual pulses. We have covered the very general and realistic spike-response model, a powerful and realistic model for using pulse coding in neurons. Standard neural network training algorithms use rate coding and cannot be directly used satisfactory for spiking neural networks, therefore we have used evolution to find suitable network topologies and parameters.

We have chosen two specific network types, one from each network generation, and have tested them on a number of dynamic tasks. Some tasks proved too difficult, some were no problem for both networks. There are some fields though where either LSTM or spiking circuits performed better. The difference can be largely brought back to the differences between second and third generation networks. LSTM is an architecture combined with a learning method that is aimed at finding temporal correlations and working with analogue values. Using so-called forgetting gates [18] with LSTM might improve the performance on the more difficult tasks. Spiking circuits work with individual pulses and evolving network properties is a very different way of finding solutions and is not always good enough, which we have shown. But although it is difficult to improve much on LSTM, there is much work to be done on spiking neural networks. Spike-timing dependent synaptic plasticity uses exact spike timing to optimise information-flow through the network, as well as it imposes competition between neurons in the process of unsupervised Hebbian learning. We think such a form of learning would be very beneficial for spiking circuits and could make it possible to find solutions for the more difficult tasks.

8 Acknowledgments

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